UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

BARRY LINTON,

Plaintiff

ν.

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION,

Defendant.

C.A. No. 04-11362-RWZ

CERTIFICATION OF COMPLIANCE WITH LOCAL RULE 16.1(D)(3)

The plaintiff in the above captioned action and his undersigned representatives hereby certify that they have conferred:

- with a view to establishing a budget for the costs of conducting the full a) course - and various alternative courses - of the litigation; and
- to consider the resolution of the litigation the use of alternative dispute b) resolution programs such as those outlined in LR 16.4.

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Dated April 20, 2005